

these restrictions ensure that capital punishment in America, now, is constitutional. Specifically, the Court should examine whether widely expressed concern over arbitrary imposition of the death penalty reflects evolving standards of decency it should agree with⁴ that capital punishment violates the cruel and unusual clause of the Eighth Amendment.⁴ Now, for now.

Evaluating a punishment under the cruel and unusual clause requires a two-part analysis. First,

While the *Gregg*, *Coker*, and *Enmund* Courts did not use the term “penalty,”³¹ two cases decided on the same day in 1989, *Penry v. Lynaugh* and *Stanford v. Kentucky*,³² held that the death penalty is unconstitutional. In *Penry*, the appellant argued that the death penalty is unconstitutional. In *Stanford*, the appellant argued that the death penalty is unconstitutional. At the time, only two states specifically prohibited capital punishment outright,³⁶ the Court found no national consensus,³⁷ and declined on that basis to consider whether the death penalty is unconstitutional.³⁸

In *Stanford*, the Court considered whether capital punishment was constitutional for individuals who commit their crimes when seventeen years old or younger.³⁹ It did not include states with outright capital punishment bans in its calculus of a national consensus,⁴⁰ limiting its assessment to whether the twelve of thirty-seven states that allowed capital punishment, yet prohibited the execution of individuals who committed their crimes when seventeen years old or younger, constituted a majoritarian consensus.⁴¹ The Court has previously thought sufficient to label a particular state’s prohibition of capital punishment as “penalty.”⁴² However, even if the Court had included states with outright capital punishment prohibitions (as urged by the dissent),⁴³ only a slight majority of twenty-seven states prohibited executing individuals who committed their crimes when seventeen years old or

31. *Gregg v. Georgia*, 428 U.S. 153 (1976); *Coker v. Georgia*, 433 U.S. 584 (1977); *Enmund*, 458 U.S. 782.

32. See *Stanford v. Kentucky*, 492 U.S. 361 (1989); *Penry v. Lynaugh*, 492 U.S. 302 (1989).

33. See *Penry v. Lynaugh*, 492 U.S. 302, 335 (1989) (“[T]he Court has previously held that the death penalty is unconstitutional for individuals who commit their crimes when seventeen years old or younger. . . . [w]e decline . . . to rest our decision on the basis of a national consensus against executing mentally retarded people convicted of capital offenses for us to execute.”); *Stanford v. Kentucky*, 492 U.S. 361, 377 (1989) (“[T]he Court has previously held that the death penalty is unconstitutional for individuals who commit their crimes when seventeen years old or younger. . . . [w]e decline . . . to rest our decision on the basis of a national consensus against executing mentally retarded people convicted of capital offenses for us to execute.”).

34. *Penry*, 492 U.S. at 333-34.

35. *Id.* at 334.

36. *Id.*

37. *Id.*

38. See *id.* at 335; *Stanford*, 492 U.S. at 368.

39. *Stanford*, 492 U.S. at 368.

40. *Id.* at 370-71.

41. *Id.*

42. *Id.* at 371.

43. *Id.* at 384-85 (Brennan, J., dissenting).

younger.⁴⁴ While it can be argued that a majority constitutes consensus, such as the Court's decision in *Grain Processing*,⁴⁵ the Court has evolved standards jurisprudence.

When the holdings of *Penry* and *Stanford* were later challenged in *Atkins v. Virginia* and *Roper v. Simmons*,⁴⁶ respectively, the Court supported departing from the majoritarian approach previously used through a novel approach.

regarding, despite the importance ascribed to these trends in both cases, the Court never affirmatively stated that a national consensus existed. In *Atkins*, the Court stated that the Court was even more cautious, simply stating that objective indicia of changes in societal values to warrant exercise of its independent judgment on whether the punishment was unconstitutional.⁵⁷

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D. The Role of the Court's Independent Judgment

The Court's role in determining whether a punishment is unconstitutional is reflected in the language the Court has used to explain the role of its independent judgment. Over time, the Court has increasingly emphasized its role as ultimate arbiter in cases restricting application of the death penalty, limiting the role of objective indicia in its determination.

In *Coker*, the first case where objective indicia was used to establish that evolving standards of decency restricted application of capital punishment,⁵⁸ the Court stated that objective factors to the maximum possible extent.⁵⁹ In *Enmund*, it stated that the Court should weigh heavily the objective indicia.⁶⁰ In *Atkins*, the Court interpreted *Coker* and *Enmund* to require the Court to review [of] the judgment of the Court. By the time *Roper* was decided, the Court had abandoned all pretext of deference to objective indicia of a national consensus, stating evidence of evolving standards of decency. The language the Court used in *Roper*: "[w]e then must determine, in the exercise of our own independent judgment, whether the death penalty is [unconstitutional]"⁶³

55. *Roper*, 543 U.S. at 565-66.

56. *Atkins*, 536 U.S. at 316.

57. *Roper*, 543 U.S. at 565-68.

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juries handed down 120 death sentences.⁷⁸ In 2015, the number was down to forty-nine.⁷⁹

Because this significant reduction in death sentences has occurred in lockstep with legislative abolition and governor-imposed moratoria, there are clearly evolving standards of decency regarding the death penalty demonstrated by a consistent direction of change.⁸⁰ Yet, while the indicia are certainly persuasive, the Court should determine *why* societal attitudes have changed, and what that says about the Court's judgment.⁸¹

D.

violates the dignity of man.⁹⁵ But given that only eighteen states categorically prohibit the death penalty,⁹⁶ well short of any number the Court would be wise to limit its inquiry. The focus of the Court should be whether there is a basis to give constitutional weight to the specific concerns driving evolving standards of decency. Specifically, the Court should determine whether the death penalty is unconstitutional, now, due to the arbitrary imposition concerns expressed by state governors imposing moratoria. To do so, the Court should seek guidance from *Furman*, where in overturning all existing death penalty statutes, the five concurring justices expressed concerns over arbitrariness.⁹⁷ The Court should then address the holding in *Gregg*, where the Court determined new statutes had resolved the issues raised in *Furman*.⁹⁸

IV. *FURMAN* AND *GREGG* AS GUIDANCE FOR EXERCISING INDEPENDENT JUDGMENT

A. *Furman* and *Gregg*

Furman and *Gregg* are the only cases to directly address the constitutionality of capital punishment.⁹⁹ These cases had radically different outcomes. *Furman* effectively abolished capital punishment in 1972,¹⁰⁰ while *Gregg* reinstated it in 1976.¹⁰¹ The dramatic difference between the cases lies in *Gregg*'s holding that capital punishment regimes can avoid impermissible arbitrariness through carefully crafted statutes. The Court used a narrow concept of arbitrariness, defining it as excessive discretion for juries. Accordingly, it found that statutes providing appropriate guidance and discretion for juries resolved concerns over arbitrariness raised in *Furman*, and were therefore constitutional.¹⁰² Because both cases were plurality decisions,¹⁰³ neither controls as precedent the Court must follow. However,

95. See *Grogan v. Bd. of Prisoners*, 428 U.S. 153, 182 (1976) (¶T

the concerns of arbitrariness expressed in *Furman*, and whether they have been resolved by the judgment in *Gregg*,¹⁰⁴ independent assessment of whether capital punishment is unconstitutional now.

B. *The Arbitrariness Concerns of Furman*

Although the concurring justices in *Furman* based their conclusions on differing rationale,¹⁰⁴ all five raised concerns related to arbitrariness in the application of capital punishment.¹⁰⁵ Justice Brennan noted that capital punishment falls upon the poor, the ignorant, and the under privileged o go dgtu qh uqelgv.¹⁰⁶ Justice Douglas felt it was being applied ōur ctugn, ugrgevxgn, cpf ur qwnq vj vpr qr wct i tqw u.ō¹⁰⁷ Justices Stewart and White used the most evocative language to condemn the arbitrary nature of capital punishment at the time. Wj kg cuugtvgf vj gtg y cu ōpq o gcplpi hōndcuku hqt distinguishing the few cases in which it is imposed from the many cases in y j lej kvku pqvō¹⁰⁸ while Stewart went a step further, declaring that limited cr r decvqp qh vj g f gcvj r gpcmv o cf g kvōetwgncpf unusual in the same way vj cvdglpi utwemd{ rki j vplpi ku etwgncpf vpwūwcnō¹⁰⁹

C. *The Court "Solves" Arbitrariness Concerns in Gregg*

The plurality in *Gregg* interpreted the concurrences in *Furman* as holding capital punishment unconstitutional when applied in an arbitrary or capricious manner.¹¹⁰ It then focused on *Furman*ōu epegtpu qxgt unconstitutional arbitrariness, finding that states can always remedy those concerns through carefully constructed statutes.¹¹¹ The Court specifically hqwpf vj cv Ggqti kōu ecrkal punishment regime properly addressed arbitrariness through a bifurcated trial, where the sentencing jury was given adequate guidance to determine whether the defendant deserved death.¹¹²

104. See *Furman*, 408 U.S. 238.

105. See *id.*

106. See *Furman*, 408 U.S. at 293 (Brennan, J., concurring).

107. *Id.* at 365-66. (Marshall, J., concurring).

108. *Id.* at 256 (Douglas, J., concurring).

109. *Id.* at 313 (White, J., concurring).

110. *Id.* at 309 (Stewart, J., concurring).

111. See *Gregg v. Georgia*, 428 U.S. 153, 195 (1976).

112. *Id.*

113. *Id.*

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Tj g Cqwtveqpegf gf, j qy gxgt, vj cvucwgu ulo krt vj Ggqti kcu y qwld not in all circumstances be found constitutional.¹¹⁴ That assessment has proven prescient. The Court has subsequently imposed numerous additional constitutional protections for capital defendants, to further ensure the death penalty is not imposed in an arbitrary manner. As amended through later jurisprudence, the finding of the Court in *Gregg* can properly be stated as follows:

States can avoid arbitrary imposition of capital punishment through trials with bifurcated guilt and sentencing phases.¹¹⁵ To ensure arbitrariness is avoided, the guilt phase jury must be permitted to consider a lesser included offense to a capital crime,¹¹⁶ the sentencing jury (not a judge)¹¹⁷ must find sufficiently defined aggravating factors to permit the death penalty,¹¹⁸ and the defendant must be allowed to present all possible mitigating evidence.¹¹⁹

Hereinafter, this amended *Gregg* òj qrf kpi ö y kndg tghgtgf vj cu òyj g twg.ö

D. Challenging Capital Punishment Under *Furman* and *Gregg*

Any challenge to the constitutionality of capital punishment, now, vj qwrf dgi kp y kj cp cuuguu gpv qh y j gj gt òyj g twgö grlo kpcvgu unconstitutional arbitrariness. If it does not, the Court should then determine whether *any* system for imposing capital punishment can properly address the concerns raised in *Furman*. Of course, because these inquiries would be based on the plurality holdings in *Gregg*, the Court is not actually bound by them. However, given the intense emotional nature of the national debate over the death penalty, the Court should treat *Gregg* as binding precedent, to increase the legitimacy of any finding against capital punishment. As such, the Court should only overturn the holdings if doing so is consistent with accepted principles of *stare decisis*.

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B. Prudential and Pragmatic Considerations

In *Casey*, the Court acknowledged the importance of respect for stare decisis,¹²⁶ {gvj grf vj cvõr twf gpvkncpf r tci o cvk eqpukf gtcvkpuõ uj qwf dg

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invested in capital prosecutions. These investments are the type of reliance that would add inequity to the cost of repudiation. However, this potential inequity is attenuated by additional factors.

i. Reliance by the State

The process for imposing the death penalty on a defendant is a long and arduous one.¹⁴¹ It requires significant investment by the state at trial,¹⁴² especially during the penalty phase where they must prove aggravating factors.¹⁴³

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3. Whether related principles of law have so far developed as to have left the old rule no more than a remnant of abandoned doctrine.

It is rooted in the well-accepted doctrine that states should be allowed to administer penalties as they see fit, as long as they do not violate the constitution. Additionally, rather than entertaining the notion that capital punishment statutes might be outright unconstitutional, the Court has routinely modified them, implicitly upholding the idea that states can address unconstitutional arbitrariness through carefully constructed capital punishment schemes. The primary holding of *Gregg*

consistency of the direction of change in societal attitudes toward the death penalty.

Concerns rooted in the fallibility of the criminal justice system, and the burdens capital trials and appeals place on the state and victim families. These concerns in no way reflect even a tenuous national consensus that capital punishment is inherently wrong. Perhaps such a consensus is emerging. Perhaps these concerns will lead to significant state level abolition. Perhaps there will soon be objective indicia consistent with *Enmund* and *Coker*, actually compelling a finding that capital punishment should be permanently abolished. That is not for the Court to predict. The Court must assess evolving standards of decency and their constitutional implications, now.

Now, the Court must assess evolving standards of decency and their constitutional implications, now.